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REV. 04/03
For Other Than A Small Entity

Docket No. CF-715

Applicants: Howard W. Lutnick et al.

For : SYSTEMS AND METHODS FOR PROVIDING VOLUME-WEIGHTED AVERAGE PRICE AUCTION TRADING

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV 132188530 US.

Date of Deposit October 2, 2003.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Hon. Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450.

Claire J. Saintil-van Goodman

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

New York, New York
October 2, 2003

TRANSMITTAL LETTER FOR UNEXECUTED ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the [X] specification; [X] claims; [X] abstract; [X] unexecuted declaration; [X] application data sheet, for the above-identified patent application.

Also transmitted herewith are:

[X] 6 sheets of:

[] Formal drawings.

[X] Informal drawings. Formal drawings will be filed during the pendency of this application.

[ ] Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed.

[ ] An assignment of the invention to _____.

[ ] A check in the amount of $40.00 to cover the recording fee.

[ ] Please charge $40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED		NUMBER EXTRA	RATE	FEE
BASIC FEE					$ 770.00
TOTAL CLAIMS	51	- 20 =	31	X $18 =	$ 558.00
INDEPENDENT CLAIMS	5	- 3 =	2	X $86 =	$ 172.00
[ ] MULTIPLE DEPENDENT CLAIMS				+ $290 =	$
				TOTAL	$1500.00

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[ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

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